





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Paul J. Godowski, et al.

Serial No. 09/480,977

Filed:

11 January 2000

For:

ErbB4 RECEPTOR-SPECIFIC

NEUREGULIN RELATED

LIGANDS AND USES THEREFOR

Group Art Unit: 1642

Examiner: Holleran, Anne L.

Confirmation No: 5001

Customer No: 09157

EXPRESS MAIL LABEL NO.: EV 351 927 256 US

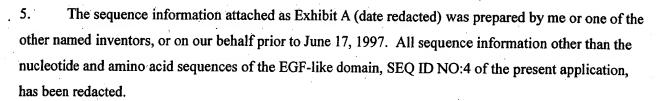
DATE OF DEPOSIT: JANUARY 18, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION UNDER 37 C.F.R. § 1.131

I, Paul J. Godowski, Melanie Rose Mark, and Dong Xiao Zhang, declare and say as follows:

- I am a named inventor of the claimed subject matter of the above-identified patent application. 1. The above-identified patent application claims priority to U.S. Patent Application No. 08/899,437, filed July 24, 1997, which claims priority to U.S. Provisional Application No. 60/052,019, filed July 9, 1997. These priority applications name me or one or more of the other named inventors as inventor.
- All work described in the above-identified application, the priority patent applications, and the 2. sequence information attached as Exhibit A was performed by me or one of the other named inventors, or on our behalf, in the United States of America.
- I am aware that some of the claims in the above-identified patent application have been rejected 3. as allegedly anticipated by US Patent 6,727,077, which claims priority to U.S. Provisional Application 60/049,492, filed June 17, 1997.
- 4. Prior to June 17, 1997, I or one or more of the other named inventors, or a person acting on our behalf, reduced to practice in the United States the NRL EGF-like domain, designated the NRG3 EGFlike domain and SEQ ID NO:4 in the present application.



- 6. Prior to June 17, 1997, experiments were conducted by me, one or more of the other named inventors, or a person acting on our behalf, which resulted in the identification and characterization of the molecule referred to in Exhibit A as "NRL" and in the present application as "NRG3," where SEQ ID NO:4 was identified as the EGF-like binding domain comprised within murine NRG3 (SEQ ID NO:2) and human NRG3B1 (SEQ ID NO:6) of the present application. Among other things, these experiments resulted in the determination of the amino acid sequence of the EGF-like domain, highlighted for the purpose of this declaration by underlining on the attached Exhibit A (page 2 of Exhibit A).
- 7. Thus, on a date preceding June 17, 1997, the priority date of the US6,727,077 patent, SEQ ID NO:4 was reduced to practice in the United States by me or one or more of the other named inventors, or a person acting on our behalf.
- 8. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information or belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

Date: 1 24 05	By: Col Jobowski	
Date:	Ву:	
	Melanie Rose Mark	
Date:	Ву:	
	Dong Xiao Zhang	

- 5. The sequence information attached as Exhibit A (date redacted) was prepared by me or one of the other named inventors, or on our behalf prior to June 17, 1997. All sequence information other than the nucleotide and amino acid sequences of the EGF-like domain, SEQ ID NO:4 of the present application, has been redacted.
- 6. Prior to June 17, 1997, experiments were conducted by me, one or more of the other named inventors, or a person acting on our behalf, which resulted in the identification and characterization of the molecule referred to in Exhibit A as "NRL" and in the present application as "NRG3," where SEQ ID NO:4 was identified as the EGF-like binding domain comprised within murine NRG3 (SEQ ID NO:2) and human NRG3B1 (SEQ ID NO:6) of the present application. Among other things, these experiments resulted in the determination of the amino acid sequence of the EGF-like domain, highlighted for the purpose of this declaration by underlining on the attached Exhibit A (page 2 of Exhibit A).
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- 8. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information or belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

Date:		Ву:
		Paul J. Godowski
	1/2015	By: Melanie Rose Marl
Date:	1/24/05	· · · · · · · · · · · · · · · · · · ·
		Melanie Rose Mark
•		
Date:		By:
		Dong Xiao Zhang

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Date:		By:
		Paul J. Godowski
Date:	Ву:	
		Melanie Rose Mark
Date:	1/24/2005	By: 088

Dong Xiao Zhang

proofread by Audrey Goddard >Sequence of Heregulin-related clone NRL >Sequenced by Jennifer Brush (completed

> length: 3059 bp (circular)

O Sites [No Sites]

/Macintosh HD/Users/davecarpenter/Desktop/mNRL.1617 Folder/mNRL.1617/ss.NRL.final

start insert

101

201

301

401

501

601

701

80,

Met

901

vl.64 GSeqEdit, ss.NRL.final, page 1

CACTICAAAC CCIGICGAGA CAAGGACCIG GIGAAGIIIIG GGACAGCICI GIICCIGGAC ^EGF-like domain

GCGTATTGTC TCAATGATGG TGAATGCTTT GTGATTGAGA CCCTGACAGG ATCCCATAAG CACTGTCGGT GCAAGGAAGG CTACCAAGGA GTCCGTTGTG CGCATAACAG AGTTACTACC ACTTACGAAA CACTAACTCT GGGACTGTCC TAGGGTATTC GTGACAGCCA CGTTCCTTCC GATGGTTCCT CAGGCAACAC 1.701

L N D

1801 ATCAATTTCT TAGTTAAAGA

v1.64 GSeqEdit, ss.NRL.final, page 2





v1.64 GSeqEdit, ss.NRL.final, page 3

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2601